

**BUREAU OF  
POLITICAL-MILITARY AFFAIRS**

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*Principal Deputy Assistant Secretary*





# STATUTORY ROLE OF THE SECRETARY OF STATE

*The statutory role of the Secretary of State is contained in Section 622 of the FAA and Section 2 of the AECA. Under the direction of The President, The Secretary of State shall be responsible for:*

- *The continuous supervision and general direction of economic assistance, military assistance, military education and training, and sales and export programs; determining whether there shall be a security assistance program, or a sale or export for a country and the value thereof;*
- *Insuring such programs are effectively integrated both at home and abroad, and that the foreign policy of the United States is*



# STATUTORY ROLE OF THE SECRETARY OF DEFENSE

***The statutory role of the Secretary of Defense is contained in Section***

***623 of the FAA. The Secretary of Defense shall have primary responsibility for:***

- ***the determination of military end-item requirements;***
- ***the procurement of military equipment in a manner which permits its integration with service programs;***
- ***the supervision of end-item use by the recipient countries;***
- ***the supervision of the training of foreign military and related civilian personnel;***
- ***the movement and delivery of military end-items; and***
- ***within the Department of Defense, the performance of any other functions with respect to the furnishing of military assistance, education, and training.***



# ***ROLE OF THE CONGRESS***

***General Policy***

***Consultation***

***Advance Review***

***Reporting***

***Country-specific legislation***

***Weapon-specific legislation***



# *Disclosure Criteria*

***NDPC exercises control of Classified Military Information (CMI) in the names of the Secretaries of State and Defense.***

***Disclosure made only when all of the following criteria are met:***

- 1. Disclosure is consistent with U.S. foreign policy and national security objectives regarding the receiving foreign government***
- 2. Disclosure is consistent with U.S. military and security objectives***
- 3. Foreign government will afford the same degree of security***

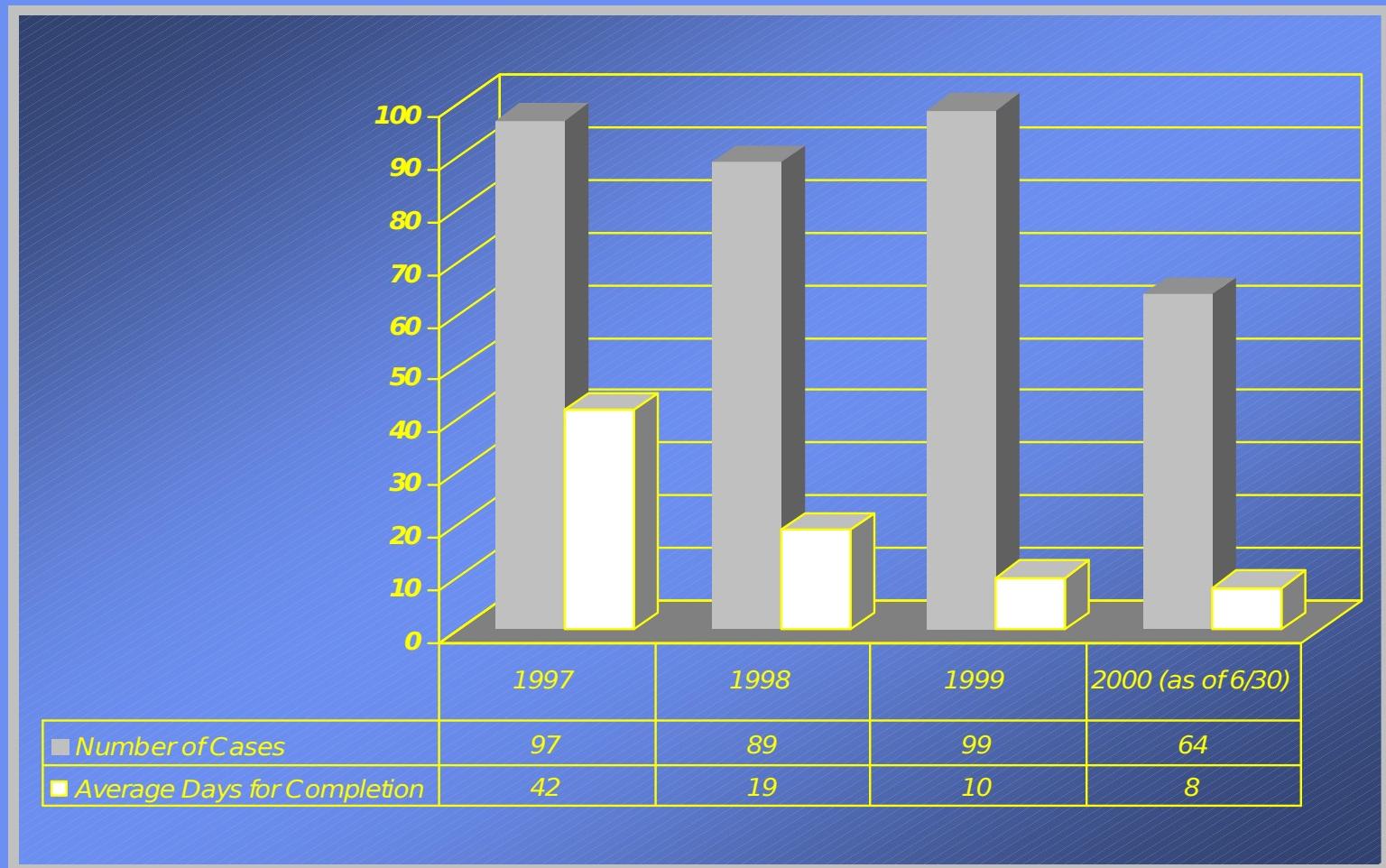


# *False Impressions*

- *ENDP does not constitute approval of a transfer, which is a State authority.*
- *DoD can act unilaterally to approve an exception, but to do so risks false impression that the eventual transfer would be approved.*
- *State's NDPC concerns center on ensuring that exceptions are approved only when there is a reasonable likelihood that*

# COMPLETED ENDP CASES

## FY 1998 - 2000





# *Conventional Arms Transfer Policy -- Criteria*

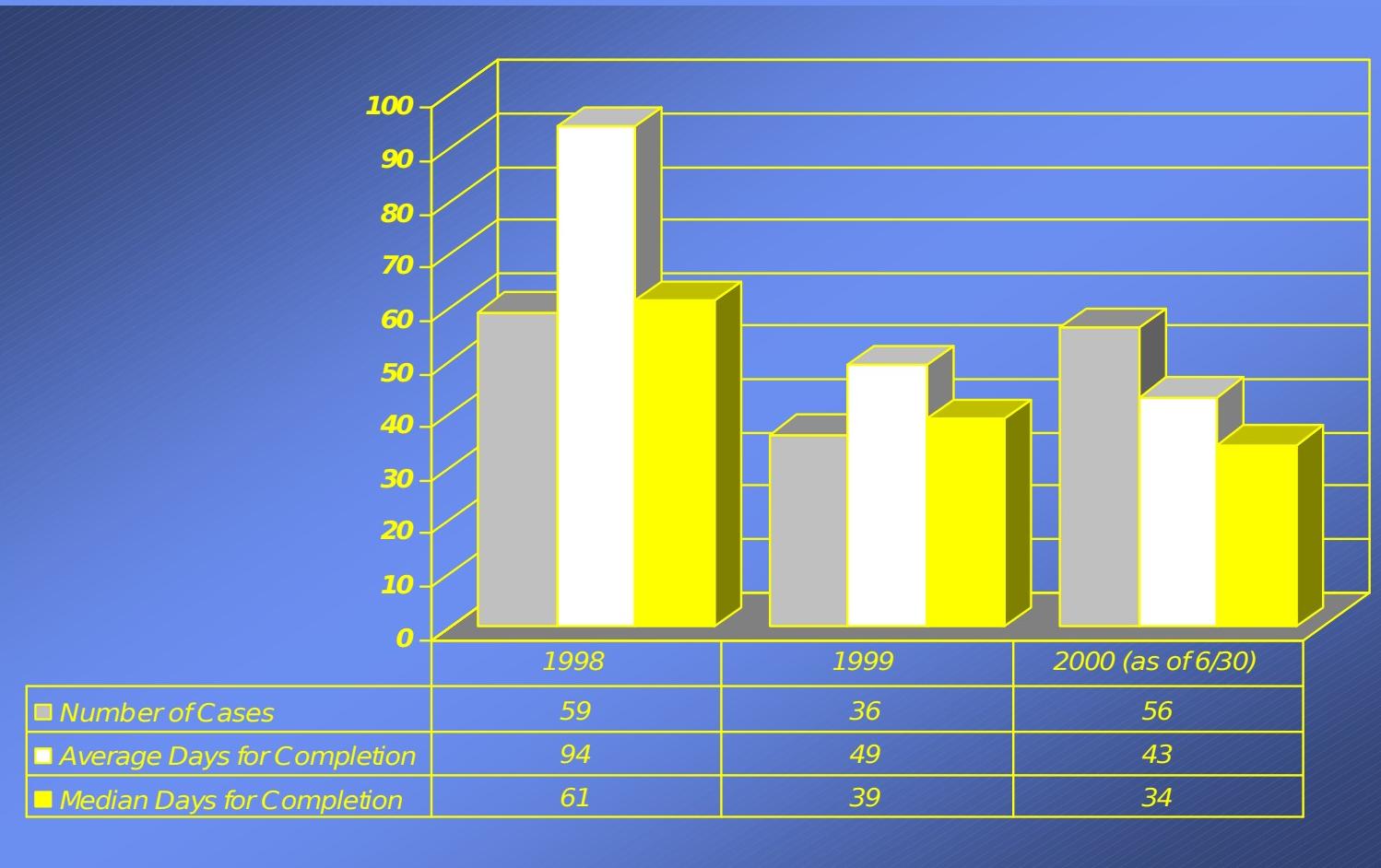
- *Consistent with international agreements and control initiatives*
- *Responds to legitimate U.S. and recipient needs; supports strategic interests; contributes to regional stability*
- *Impact on U.S. capabilities and technologies; risk of revealing system vulnerabilities if disclosed*



# *Conventional Arms Transfer Policy -- Criteria*

- *Availability of comparable foreign systems*
- *Record of human rights, terrorism, proliferation*
- *Impact on U.S. defense industrial base*
- *Risk of adverse economic, political or social consequences*

# COMPLETED FMS 36(B) CASES FY 1998 - 2000



**YEAR 2000 CONGRESSIONAL RECESS SCHEDULE**  
**106th Congress, Second Session**

JANUARY							FEBRUARY							MARCH							
S	M	T	W	T	F	S	S	M	T	W	T	F	S	S	M	T	W	T	F	S	
2	3	4	5	6	7	8	1		1	2	3	4	5	5	6	7	8	9	10	11	
9	10	11	12	13	14	15	13	14	15	16	17	18	19	12	13	14	15	16	17	18	
16	17	18	19	20	21	22	20	21	22	23	24	25	26	19	20	21	22	23	24	25	
23	24*	25	26	27	28	29	27	28	29					26	27	28	29	30	31		
30	31																				
APRIL							MAY							JUNE							
S	M	T	W	T	F	S	S	M	T	W	T	F	S	S	M	T	W	T	F	S	
2	3	4	5	6	7	8	1		1	2	3	4	5	6	4	5	6	7	8	9	10
9	10	11	12	13	14	15	13	14	15	16	17	18	19	20	11	12	13	14	15	16	17
16	17	18	19	20	21	22	21	22	23	24	25	26	27	18	19	20	21	22	23	24	
23	24	25	26	27	28	29	28	29	30	31				25	26	27	28	29	30		
30																					
JULY							AUGUST							SEPTEMBER							
S	M	T	W	T	F	S	S	M	T	W	T	F	S	S	M	T	W	T	F	S	
2	3	4	5	6	7	8	1		1	2	3	4	5	3	4	5	6	7	8	9	
9	10	11	12	13	14	15	13	14	15	16	17	18	19	10	11	12	13	14	15	16	
16	17	18	19	20	21	22	20	21	22	23	24	25	26	17	18	19	20	21	22	23	
23	24	25	26	27	28	29	27	28	29	30	31			24	25	26	27	28	29	30	
30	31																				
OCTOBER							NOVEMBER							DECEMBER							
S	M	T	W	T	F	S	S	M	T	W	T	F	S	S	M	T	W	T	F	S	
1	2	3	4	5	6*	7			1	2	3	4	5	3	4	5	6	7	8	9	
8	9	10	11	12	13	14	5	6	7	8	9	10	11	10	11	12	13	14	15	16	
15	16	17	18	19	20	21	12	13	14	15	16	17	18	17	18	19	20	21	22	23	
22	23	24	25	26	27	28	19	20	21	22	23	24	25	17	18	19	20	21	22	23	
29	30	31					26	27	28	29	30			24	25	26	27	28	29	30	
														31							

**Recess**  
**Congress Convenes@noon**  
**President's Day Recess**  
**Senate Recess**  
**Spring Recess**  
**Memorial Day Recess**  
**July 4<sup>th</sup> Recess**  
**August/Labor Day Recess**  
**TARGET ADJOURNMENT**

**HOUSE**  
1-23 Jan  
24 Jan  
19-27 Feb  
15-30 Apr  
27 May-4 Jun  
1-9 Jul  
29 Jul-5 Sep  
6 Oct

**SENATE**  
1-23 Jan  
24 Jan  
12-21 Feb  
11-19 Mar  
15-24 Apr  
27 May-4 Jun  
1-9 Jul  
29 Jul-4 Sep  
6 Oct

House-RED Dates (Non-Legislative Periods)

\* Blue Highlight (START/FINISH Dates)

Senate-YELLOW Highlight (Non-Legislative Periods)

\*\*Subject to change/01.06.00